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8	DEFORE W	TIP.
9	BEFORE THE DEPARTMENT OF CONSUMER AFFAIRS	
10	FOR THE CEMETERY AND I STATE OF CALI	
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12		
13	In the Matter of the Accusation Against:	Case No. A1 2021 372
14	OCEANVIEW CREMATIONS 25180 Mission Blvd.	OAH No. 2022120074
15	Hayward, CA 94542	
16	Funeral Establishment License No. FD 2082	ACCUSATION
17	ROBERT SMITH, SR. P.O. Box 45	necessition (
18	Hayward, CA 94543	
19	Funeral Director License No. FDR 781	
20	Respondents.	
21		
22	<u>PARTIES</u>	
23	1. Gina Sanchez (Complainant) brings this Accusation solely in her official capacity as	
24	the Bureau Chief of the Cemetery and Funeral Bureau (Bureau), Department of Consumer	
25	Affairs.	
26	2. On or about February 7, 2011, the Bureau issued Funeral Establishment License No.	
27	FD 2082 to Oceanview Cremations (Respondent Oceanview), with Robert Smith, Sr.	
28	(Respondent Smith) as the responsible managing funeral director. The Funeral Establishment	
	1	

License was in full force and effect at all times relevant to the charges brought herein, except between February 28, 2022, when the license expired, and April 4, 2022, when the license was renewed. The license will expire on February 28, 2023, unless renewed. On or about December 22, 2022, in *In the Matter of the Petition for Interim Suspension Order Against Oceanview Cremations and Robert C. Smith*, *Sr.*, OAH No. 2022120074, Respondent Oceanview's license was suspended pending further proceedings, in accordance with Business and Professions Code section 494, subdivision (f).

3. On or about May 13, 1997, the Bureau issued Funeral Director License No. FDR 781 to Respondent Smith. The Funeral Director License was in full force and effect at all times relevant to the charges brought herein and will expire on May 31, 2023, unless renewed. On or about December 22, 2022, in *In the Matter of the Petition for Interim Suspension Order Against Oceanview Cremations and Robert C. Smith, Sr.*, OAH No. 2022120074, Respondent Smith's license was suspended pending further proceedings, in accordance with Business and Professions Code section 494, subdivision (f).

JURISDICTION

- 4. This Accusation is brought before the Director of the Department of Consumer Affairs (Director) for the Bureau, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
 - 5. Code section 7686 states:

The bureau may suspend or revoke licenses, after proper notice and hearing to the licensee, if the licensee has been found guilty by the bureau of any of the acts or omissions constituting grounds for disciplinary action. The proceedings under this article shall be conducted in accordance with Chapter 5 of Part 1 of Division 3 of Title 2 of the Government Code, and the bureau shall have all the powers granted therein.

6. Code section 118, subdivision (b) states:

The suspension, expiration, or forfeiture by operation of law of a license issued by a board in the department [of Consumer Affairs], or its suspension, forfeiture, or cancellation by order of the board or by order of a court of law, or its surrender without the written consent of the board, shall not, during any period in which it may be renewed, restored, reissued, or reinstated, deprive the board of its authority to institute or continue a disciplinary proceeding against the licensee upon any ground provided by law or to enter an order suspending or revoking the license or otherwise

1	taking disciplinary action against the licensee on any such ground.	
2	STATUTORY AND REGULATORY PROVISIONS	
3	7. Code section 7616, subdivision (a) states:	
4	A licensed funeral establishment is a place of business conducted in a building	
5	or separate portion of a building having a specific street address or location and devoted exclusively to those activities as are incident, convenient, or related to the	
6	preparation and arrangements, financial and otherwise, for the funeral, transportation, burial or other disposition of human remains and including, but not limited to, either of the following:	
7	(1) A suitable room for the storage of human remains.	
8		
9 10	(2) A preparation room equipped with a sanitary flooring and necessary drainage and ventilation and containing necessary instruments and supplies for the preparation, sanitation, or embalming of human remains for burial or transportation.	
11	8. Code section 7617 states:	
12 13	The business of a licensed funeral establishment shall be conducted and engaged in at a fixed place or facility.	
14	No person, partnership, association, corporation, or other organization shall open or maintain a place or establishment at which to engage in or conduct, or hold himself or herself or itself out as engaging in or conducting, the business of a funeral	
15	establishment without a license.	
16	9. Code section 7628 states:	
17 18	Any person, partnership, association, corporation, or other organization desiring to change the location of a licensed funeral establishment shall apply therefor on forms furnished by the bureau and shall include a fee fixed by this chapter.	
19	The application shall be granted by the bureau upon the filing with the bureau of a favorable report from an inspector concerning the physical status or plans and	
20	specifications of the proposed licensed funeral establishment to the effect that it conforms to the requirements of this article.	
21	conforms to the requirements of this article.	
22	10. Code section 7680 states:	
23	Every license issued shall be displayed conspicuously in the place of business or	
24	employment of the licensee.	
25	11. Code section 7685, subdivision (a) states:	
26	(1) Every funeral director shall provide to any person, upon beginning	
27	discussion of prices or of the funeral goods and services offered, a written or printed list containing, but not necessarily limited to, the price for professional services offered, that may include the funeral director's services, the preparation of the body,	
28	the use of facilities, and the use of automotive equipment. All services included in	

this price or prices shall be enumerated. The funeral director shall also provide a statement on that list that gives the price range for all caskets offered for sale.

. . .

(3) The funeral director shall also provide a written statement or list that, at a minimum, specifically identifies a particular casket or caskets by price and by thickness of metal, or type of wood, or other construction, interior and color, in addition to other casket identification requirements under Part 453 of Title 16 of the Code of Federal Regulations and any subsequent version of this regulation, when a request for specific information on a casket or caskets is made in person by an individual. Prices of caskets and other identifying features such as thickness of metal, or type of wood, or other construction, interior and color, in addition to other casket identification requirements required to be given over the telephone by Part 453 of Title 16 of the Code of Federal Regulations and any subsequent version of this regulation, shall be provided over the telephone, if requested.

12. Code section 7685.2 states:

- (a) A funeral director shall not enter into a contract for furnishing services or property in connection with the burial or other disposal of human remains until he or she has first submitted to the potential purchaser of those services or property a written or printed memorandum containing the following information, provided that information is available at the time of execution of the contract:
- (1) The total charge for the funeral director's services and the use of his or her facilities, including the preparation of the body and other professional services, and the charge for the use of automotive and other necessary equipment.
- (2) An itemization of charges for the following merchandise as selected: the casket, an outside receptacle, and clothing.
- (3) An itemization of fees or charges and the total amount of cash advances made by the funeral director for transportation, flowers, cemetery, crematory, or hydrolysis facility charges, newspaper notices, clergy honorarium, transcripts, telegrams, long distance telephone calls, music, and any other advances as authorized by the purchaser.
 - (4) An itemization of any other fees or charges not included above.
 - (5) The total of the amount specified in paragraphs (1) to (4), inclusive.

If the charge for any of the above items is not known at the time the contract is entered into, the funeral director shall advise the purchaser of the charge therefor, within a reasonable period after the information becomes available. All prices charged for items covered under Sections 7685 and 7685.1 shall be the same as those given under such sections.

(b) A funeral establishment shall obtain from the person with the right to control the disposition pursuant to Section 7100 of the Health and Safety Code, or the person prearranging the cremation or hydrolysis and disposition of his or her own remains, a signed declaration designating specific instructions with respect to the disposition of cremated remains or hydrolyzed human remains. The bureau shall make available a form upon which the declaration shall be made. The form shall include, but not be limited to, the names of the persons with the right to control the disposition of the cremated remains or hydrolyzed human remains and the person

who is contracting for the cremation or hydrolysis services; the name of the deceased; the name of the funeral establishment in possession of the remains; the name of the crematorium or hydrolysis facility; and specific instructions regarding the manner, location, and other pertinent details regarding the disposition of cremated remains or hydrolyzed human remains. The form shall be signed and dated by the person arranging for the cremation or hydrolysis and the funeral director, employee, or agent of the funeral establishment in charge of arranging or prearranging the cremation or hydrolysis service.

. . .

13. Code section 7692 states:

Misrepresentation or fraud in the conduct of the business or the profession of a funeral director or embalmer constitutes a ground for disciplinary action.

14. Code section 7693 states:

False or misleading advertising as a funeral establishment, funeral director, or embalmer constitutes a ground for disciplinary action.

15. Code section 7707 states:

Gross negligence, gross incompetence or unprofessional conduct in the practice of funeral directing or embalming constitutes a ground for disciplinary action.

16. Health and Safety Code section 7110 states:

Any person signing any authorization for the interment or cremation of any remains warrants the truthfulness of any fact set forth in the authorization, the identity of the person whose remains are sought to be interred or cremated, and his or her authority to order interment or cremation. He or she is personally liable for all damage occasioned by or resulting from breach of such warranty.

17. Health and Safety Code section 102775 states:

Each death shall be registered with the local registrar of births and deaths in the district in which the death was officially pronounced or the body was found, within eight calendar days after death and prior to any disposition of the human remains.

18. Health and Safety Code section 103070 states:

The body of any person whose death occurs in this state, or whose body is found in the state, or that is brought in from outside the state, shall not be temporarily held pending disposition more than eight calendar days after death, unless a permit for disposition is issued by the local registrar of the registration district in which the death occurred or the body was found.

19. California Code of Regulations, title 16, section 1204, subdivision (b) states:

The designated managing licensed funeral director of a licensed funeral establishment shall be responsible for exercising such direct supervision and control over the conduct of said funeral establishment as is necessary to ensure full

compliance with the Funeral Directors and Embalmers Law, the provisions of this 1 chapter and the applicable provisions of the Health and Safety Code. Failure of the designated managing licensed funeral director and/or the licensed funeral 2 establishment to exercise such supervision or control, or failure of the holder of the funeral establishment license to make such designation shall constitute a ground for 3 disciplinary action. 20. California Code of Regulations, title 16, section 1210 states: 4 5 The certificate of licensure shall remain the property of the State of California, in possession of the licensee only so long as he/she or it exercises the license at the 6 location specified in the license, and said certificate shall be surrendered to the bureau upon change of address, change of name, assignment or upon discontinuance of 7 business at the specified address. This rule shall not prevent a licensed funeral director from conducting a funeral in another licensed establishment, nor shall it 8 prevent a licensed funeral director from conducting a funeral at a church, cemetery, home, public hall, lodge room, or other suitable place. 9 21. California Code of Regulations, title 16, section 1214 states: 10 11 Except as otherwise provided in Health and Safety Code section 7304, human remains shall not be embalmed without the express authorization of a person having 12 the legal right to control disposition of the remains. Such authorization, to either accept or decline embalming, shall be secured by use of form 12-AUTH (rev. 11/14) 13 prescribed by the bureau and made a part of this regulation. The form shall be used in the exact form set forth below, without additions, substitutions, or amendments, and 14 may be either a separate form or combined with another form. 15 22. California Code of Regulations, title 16, section 1258.1 states: 16 17 18 (c) The statement regarding the price range for all caskets offered for sale, required pursuant to Business and Professions Code section 7685, shall: 19 (1) Differentiate between the price range for all caskets regularly offered for 20 sale, and the price range for all alternative containers regularly offered for sale. 21 (d) All caskets, representations of caskets, and alternative containers regularly offered for sale shall be either physically displayed in the funeral establishment casket 22 selection room or displayed photographically. 23 23. California Code of Regulations, title 16, section 1258.2, subdivision (f) states: 24 25 All rental caskets shall be included on the casket price list required by Business and Professions Code section 7685 and shall be displayed in the funeral establishment 26 casket selection room or displayed photographically. When a rental casket is used, it shall appear on the contract for funeral goods or services.

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- 28. On or about February 28, 2022, Respondent Oceanview's Funeral Establishment License expired. Respondent Oceanview continued conducting the business of a funeral establishment under the expired license, and did not renew it until on or about April 4, 2022. During this period when its license was expired, Respondent Oceanview stored and transported uncremated human remains, made arrangements for cremations, registered death certificates, and obtained disposition permits.
- 29. On or about April 5, 2022, Respondent Oceanview moved its place of business from the Bureau-approved address of 25180 Mission Boulevard in Hayward, California to an adjacent storefront at 25176 Mission Boulevard. Respondent Oceanview did so without filing a change of location form with the Bureau or surrendering its license to operate at its previous facility. Respondent Oceanview then conducted the business of a funeral establishment out of the new location, even though the location did not contain a cold storage unit and had not been inspected or approved by the Bureau.

February 2, 2022 Inspection

- 30. On or about February 2, 2022, a Bureau Field Representative conducted a routine inspection of Respondent Oceanview's facility at 25180 Mission Boulevard. The Field Representative noted several deficiencies, specifically:
 - The Bureau-issued license was not conspicuously displayed.
 - The list of caskets available for purchase did not contain sufficient identifying information.
 - The caskets available for purchase and rent were not all displayed in the premises,
 either physically or photographically.

Decedent SL

- 31. SL passed away on or about June 26, 2022, and her surviving spouse, JK, made oral arrangements with Respondent Oceanview for funeral services. JK paid approximately \$933.50 for cremation services and five copies of SL's death certificate.
- 32. Respondent Oceanview held SL's remains for approximately 46 days (June 26, 2022 to August 11, 2022) before transporting them for cremation. During this time, JK called

Respondent Oceanview multiple times, seeking an update on the status of SL's cremation, but neither Respondent Smith nor any other staff member returned her calls. This caused JK considerable distress.

- 33. Respondent Oceanview did not register SL's death certificate or receive a disposition permit for SL's remains until on or about July 28, 2021, 32 days after SL's death.
- 34. Respondent Oceanview did not obtain JK's signature on an Authorization For Removal Of Human Remains form, a Declaration for Disposition of Cremated Remains form, a Disclosure Of Preneed Funeral Agreement form, an Authorization To Accept Or Decline Embalming form, or a Statement Of Funeral Goods And Services Selected form. Instead, Respondent Smith forged JK's signature and initials on the Cremation Authorization and Declaration for Disposition of Cremated Remains forms, and presented these forms to staff at the Cypress Lawn Cremation Center (Cypress Lawn) in Colma, California. Believing the forged documents to be valid, the crematory staff went forward with the cremation of SL's remains on or about August 11, 2011. Later that day, Respondent Smith e-mailed the above-named documents to JK and asked her to sign them after the fact.
- 35. On or about October 11, 2021, when interviewed by a Bureau Field Representative about the issues surrounding SL's funeral services, Respondent Smith admitted forging JK's signature and initials on the Cremation Authorization and Declaration for Disposition of Cremated Remains forms, stating, "I did that. I DocuSigned those. I do it all the time," or words to that effect. Respondent further admitted that he "forgot to follow up" with JK regarding SL's cremation, or words to that effect.

Decedent JB

36. JB passed away on or about August 20, 2021. On or about August 24, 2021, JB's surviving sister, LB, viewed Respondent Oceanview's website and saw an advertisement for direct cremation priced at \$769.00, with "no hidden costs or fees." However, when she contacted Respondent Oceanview to purchase the cremation package, Respondent Smith quoted her a price of \$849.50. Respondent Smith subsequently quoted a price of over \$3,000.00, due to the decedent's weight.

- 37. On or about October 6, 2021, a Bureau Field Representative reviewed Respondent Oceanview's website. Under the tab "Services," the website advertised direct cremation, inclusive of the crematory fee and transportation to the crematory, for \$769.00. No mention was made of any additional charges. However, Respondent Oceanview's General Price List, found elsewhere on the website, indicated that extra charges applied for decedents over a certain weight.
- 38. On or about October 7, 2021, a Bureau Field Representative questioned Respondent Smith about the \$849.50 quote given to LB. Respondent Smith stated that the direct cremation package was \$769.00, plus a \$60.00 "crematory handling fee," \$12.00 for the cremation permit, and \$8.50 for the Department of Consumer Affairs fee. None of these extra fees were listed on Respondent Oceanview's website.

Decedent JG

- 39. JG passed away on or about November 5, 2021. Respondent Oceanview took custody of JG's remains that same day, pursuant to unpaid preneed arrangements made by JG's surviving daughter, PP. PP thereafter paid approximately \$1,030.00 for cremation services and six copies of JG's death certificate.
- 40. Respondent Oceanview held JG's remains for approximately 33 days (November 5, 2021 to December 8, 2021) before transporting them for cremation. During this time, PP repeatedly called Respondent Oceanview to find out the status of her father's cremation; however, no one responded to her calls or messages. On multiple occasions, PP was unable to reach Respondent Oceanview because the voice mailbox was full or the number was out of service. This caused PP considerable distress.
- 41. On or about December 1, 2021, PP reported the situation to the Hayward Police Department. Later that day, PP received a call from the Police Department, reporting that officers visited Respondent Oceanview's establishment, spoke to Respondent Smith, and provided Respondent Smith with PP's contact information.
- 42. On or about December 7, 2021, Respondent Smith signed a Declaration for Disposition of Cremated Remains form, falsely attesting that he had the legal right to authorize

JG's cremation. The form also incorrectly listed Castro Valley Crematorium Inc. as the place of cremation.

- 43. Respondent Oceanview failed to complete a Disclosure of Preneed Funeral Agreement form regarding JG.
- 44. Respondent Oceanview did not register JG's death certificate or receive a disposition permit for JG's remains until on or about December 7, 2021, 32 days after JG's death.
- 45. Although PP requested and paid for five copies of JG's death certificate, Respondent Oceanview failed to provide her with such.
- 46. On or about February 3, 2022, when interviewed by a Bureau Field Representative about the issues surrounding JG's funeral services, Respondent Smith admitted that he failed to return PP's calls, stating, "I wasn't having problems with the phone, I was having problems with me," or words to that effect. Respondent Smith reported that he was having health and memory loss problems, and that he had no recollection of speaking with the Hayward Police. When asked why he did not have PP sign the Declaration for Disposition of Cremated Remains form, Respondent Smith stated, "She was already mad at me," or words to that effect.

Decedent RC-1

47. RC-1 passed away on or about December 22, 2021, and Respondent Oceanview took custody of RC-1's remains on or about December 24, 2021. Respondent Oceanview did not register RC-1's death certificate or obtain a disposition permit for RC-1's remains until on or about February 4, 2022, 44 days after RC-1's death.

Decedent AM

48. AM passed away on or about January 7, 2022, and Respondent Oceanview took custody of AM's remains approximately two to three days later. Respondent Oceanview did not register AM's death certificate or obtain a disposition permit for AM's remains until on or about February 22, 2022, 46 days after AM's death.

Decedent DS

49. DS passed away on or about November 11, 2021, and Respondent Oceanview took custody of his remains on or about November 23, 2021, pursuant to arrangements made by DS's

surviving nephew, JS. JS paid approximately \$1,200.00 for the cremation services and five copies of DS's death certificate.

- 50. Respondent Oceanview held DS's remains for approximately 130 days (November 23, 2021 to April 8, 2022) before transporting them for cremation. During this time, JS repeatedly called Respondent Oceanview and left multiple voice messages, none of which were returned. On another occasion, JS was answered with a recording that Respondent Oceanview's phone number was out of service. On the one occasion that JS did speak to Respondent Smith, on or about January 25, 2022, Respondent Smith falsely stated that the delay in cremation was caused by "problems with the paperwork," or words to that effect. Respondent Smith later admitted that he had simply forgotten that DS's remains were in Respondent Oceanview's possession. This lack of communication caused JS considerable distress.
- 51. The Statement of Funeral Goods and Services Selected, signed by JS on or about November 11, 2021, falsely listed Respondent Oceanview's address as 21365 Mission Boulevard in Hayward.
- 52. Respondent Oceanview did not register DS's death certificate or receive a disposition permit for DS's remains until on or about March 21, 2022, approximately 130 days after DS's death.
- 53. On or about April 11, 2022, when interviewed by a Bureau Field Representative about the issues surrounding DS's funeral services, Respondent Smith stated that he "forgot" DS's remains were in Oceanview's custody. Respondent Smith reported that he had been hospitalized, was experiencing memory loss, and "just haven't been right," or words to that effect. Respondent Smith admitted that he did not return several of JS's messages, and that he had "temporarily taken down" Respondent Oceanview's main business number for an unspecified period of time, or words to that effect.

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Decedent CMA

- 54. CMA passed away on or about November 7, 2021. That same day, Respondent Oceanview took custody of CMA's remains pursuant to arrangements made by CMA's surviving granddaughter, RQ.
- 55. Respondent Oceanview held CMA's remains for approximately 137 days (November 7, 2021 to March 24, 2022) before transporting them for cremation. During this time, RQ repeatedly called and e-mailed Respondents, but received no answers to her messages. On other occasions, RQ was unable to leave a voice message because Respondent Oceanview's voice mailbox was full; on another, RQ received a recorded message that the number had been disconnected. This caused RQ considerable distress. RQ finally made contact with Respondent Smith on or about March 7, 2022, at which time Respondent Smith falsely stated that the cremation would occur on March 14, 2022.
- 56. CMA's remains were not cremated until on or about March 24, 2022. Thereafter, Respondent Smith stored the temporary urn containing CMA's remains on the floor of Respondent Oceanview's new, unapproved facility at 25176 Mission Boulevard.
- 57. The Declaration for Disposition of Cremated Remains form regarding CMA did not contain specific instructions as to the manner, location, and other pertinent details regarding the disposition of CMA's remains. Instead, the form stated only, "Return cremated remains to RQ."
- 58. The Statement of Funeral Goods and Services Selected and Authorization for Removal of Human Remains regarding CMA falsely listed Respondent Oceanview's address as 21365 Mission Boulevard in Hayward.
- 59. Respondent Oceanview did not register CMA's death certificate or obtain a disposition permit for CMA's remains until on or about March 7, 2022, 120 days after CMA's death.
- 60. On or about April 11, 2022, when interviewed by a Bureau Field Representative about the issues surrounding CMA's funeral services, Respondent Smith stated that he "probably" did not return messages from RQ, and that he was "possibly" dishonest with her about what

caused the delay in CMA's cremation, or words to that effect. Respondent Smith reported that he was having "tough memory loss," or words to that effect.

Decedent RC-2

- 61. RC-2 passed away on or about December 31, 2021. That same day, Respondent Oceanview took custody of RC-2's remains pursuant to a pre-paid cremation arrangement made by RC-2 through an insurance company.
- 62. Respondent Oceanview held RC-2's remains for approximately 77 days (December 31, 2021 to March 17, 2022), after which RC-2's surviving son, RC-2 Jr., arranged for a different establishment to handle the funeral arrangements. During this time, RC-2 Jr. called Respondent Oceanview repeatedly, but his messages were not returned. On other occasions, RC-2 Jr. was unable to leave a message because the voice mailbox was full. This caused RC-2 Jr. considerable distress.
- 63. RC-2 Jr. also sent a series of text messages to Respondent Smith, seeking information about RC-2's death certificate. The majority of the messages went unanswered, except on or about February 24, 2022, when Respondent Smith falsely indicated that RC-2's death certificate would be registered the next day.
- 64. RC-2 Jr. made arrangements for the other funeral establishment to take possession of RC-2's uncremated remains on or about March 17, 2022. At that point, Respondent Oceanview had still not registered RC-2's death certificate or received a disposition permit for RC-2's remains, even though 77 days had passed since RC-2's death.
- 65. On or about April 11, 2022, when interviewed by a Bureau Field Representative about the issues surrounding RC-2's funeral services, Respondent Smith acknowledged that he did not return several voicemails and text messages sent by RC-2 Jr. When asked whether he misled RC-2 Jr. about the status of RC-2's death certificate, Respondent Smith stated, "I probably did," or words to that effect.

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Decedent AV

- 66. AV passed away on or about January 20, 2022. On or about January 21, 2022, AV's surviving daughter, MV, made arrangements with Respondent Oceanview for AV's cremation. AV's surviving son, GV, paid \$1,089.50 for the cremation and ten copies of the death certificate. On that same date, Respondent Smith falsely told MV that the crematory "was really backed up," or words to that effect, and that the cremation would occur in approximately 15 days.
- 67. Respondent Oceanview failed to take possession of AV's remains until on or about January 25, 2022, and did so only after the hospital where AV died contacted MV to inform her that AV's remains were still in their facility.
- 68. Respondent Oceanview held AV's remains for approximately 68 days (January 25, 2022 to April 4, 2022) before MV arranged for another establishment to handle the funeral services. During this time, MV left numerous voicemails, none of which received a response. On other occasions, MV was unable to leave a message because the mailbox was full; in one instance, she was answered with a recording that the number had been disconnected. On the occasions when MV was able to reach Respondent Smith, Respondent Smith told her that he was not in the office and would call her back. Respondent Smith failed to do so. This caused MV considerable distress.
- 69. The Declaration for Disposition of Cremated Remains form, signed by MV on or about January 21, 2022, did not contain specific instructions as to the manner, location, and other pertinent details regarding the disposition of AV's remains. Instead, the form stated only, "Return cremated remains to MV."
- 70. Respondent Oceanview did not register AV's death certificate or receive a disposition permit for AV's remains until on or about March 24, 2022, 60 days after AV's death.
- 71. After transferring custody of AV's remains to the other funeral establishment, Respondent Oceanview did not proactively refund GV's payment. Rather, GV had to file a chargeback complaint with his bank to obtain a refund for services not provided.
- 72. On or about April 11, 2022, when interviewed by a Bureau Field Representative about the issues surrounding AV's funeral services, Respondent Smith reported that he was

dealing with "mass confusion," or words to that effect, and did not recall telling MV that the crematory was "backed up." Respondent Smith also stated that the cremation was delayed because "we needed to get a coroner's number," or words to that effect; however, AV's death was not investigated by the county coroner.

Decedent GE

- 73. GE passed away on or about November 17, 2021. After a dispute with the establishment initially hired to provide funeral services, GE's surviving son, JE, made arrangements with Respondent Oceanview to take custody of GE's remains and provide cremation services. Respondent Oceanview took custody of GE's remains on or about January 25, 2022.
- 74. The Statement of Funeral Goods and Services Selected and Authorization for Removal of Human Remains regarding GE falsely listed Respondent Oceanview's address as 21365 Mission Boulevard in Hayward.
- 75. Between approximately January 25, 2022 and February 10, 2022, JE repeatedly called and e-mailed Respondent Oceanview to receive an update on his mother's cremation. JE was unable to leave voice mail messages, either because Respondent Oceanview's mailbox was full or the number was out of service, and an e-mail sent to Oceanview bounced back as undeliverable. This caused JE considerable distress.
- 76. On or about February 10, 2022, JE went to Respondent Oceanview's premises to sign the documents necessary for GE's cremation. Although JE had not yet signed a Declaration for Disposition of Cremated Remains form, Respondent Smith told JE that there were no forms for him to sign, and falsely stated that GE's remains had already been transported to a crematory.
- 77. At some point between February 10, 2022 and March 8, 2022, Respondent Smith told JE, falsely, that GE's remains had been transported to Cypress Lawn, but that the crematory was behind schedule and taking two to three weeks to perform cremations. When JE requested contact information for Cypress Lawn, Respondent Smith stated, falsely, that Cypress Lawn did not have a public phone number. JE thereafter found Cypress Lawn's public phone number

through an internet search, and after speaking to the crematory manager, learned that Cypress Lawn had no record of receiving GE's remains.

- 78. On or about April 11, 2022, when interviewed by a Bureau Field Representative, Respondent Smith falsely reported that GE's remains were either at Cypress Lawn or at Santos-Robinson Mortuary, a funeral establishment in San Leandro that shares preparation facilities with Respondent Oceanview. The Field Representative confirmed after the interview that neither location had GE's remains.
- 79. Later that day, Respondent Smith transported GE's remains to Santos-Robinson, and suggested to the Santos-Robinson funeral director that he lie to the Bureau Field Representative about the delivery of the remains.
- 80. Later that day, after learning that GE's remains had been delivered to Santos-Robinson, the Bureau Field Representative asked Respondent Smith where the remains had been stored before transportation to Santos-Robinson, as Respondent Oceanview's new facility at 25176 Mission Boulevard did not have a cold storage unit. Respondent Smith refused to answer.
- 81. On or about April 28, 2022, JE arranged for another funeral establishment to take custody of GE's remains. By then, Respondent Oceanview had held GE's remains for approximately 93 days (January 25, 2022 to April 28, 2022).
- 82. Although in possession of GE's remains for approximately 93 days, at no point did Respondent Oceanview obtain a disposition permit.

Decedent ET

- 83. ET passed away on or about December 13, 2021. That same day, ET's mother, JL, and uncle, EL, made arrangements for Respondent Oceanview to provide cremation services.

 Oceanview took custody of ET's remains on or about December 29, 2022.
- 84. Respondent Oceanview held ET's remains for approximately 83 days (December 29, 2021 to March 22, 2022) before transporting them for cremation. During this time, JL repeatedly texted Respondent Smith's cell phone to obtain updates. Respondent Smith did not return several of JL's texts. On the occasions when Respondent Smith did respond, he blamed the delay on

medical personnel at the hospital where ET died. This lack of communication caused JL considerable distress.

- 85. Respondent Oceanview did not register ET's death certificate or obtain a disposition permit for ET's remains until on or about March 11, 2022, 88 days after ET's death.
- 86. On or about April 11, 2022, when interviewed by a Bureau Field Representative about the issues surrounding ET's funeral services, Respondent Smith admitted that he had not respondent to several of JL's text messages, stating, "I just couldn't place her. I'm having memory problems. I'm just not right," or words to that effect.

Decedent ML

- 87. ML passed away on or about March 15, 2022. That same day, Respondent Oceanview took custody of ML's remains pursuant to preneed cremation arrangements made by ML's surviving son, JLB.
- 88. Respondent Oceanview held ML's remains for 28 days (March 15, 2022 to April 12, 2022) before transporting them for cremation. During this time, JLB repeatedly called and emailed Respondent Oceanview, but received no reply. On one occasion, JLB's call to Respondent Oceanview was met with a message indicating that the number was out of service. This lack of communication JLB considerable distress.
- 89. On or about April 11, 2022—the day before ML's remains were transported for cremation—a Bureau Field Representative interviewed Respondent Smith about the issues surrounding ML's funeral services. Respondent Smith falsely stated that he had registered ML's death certificate and obtained a disposition permit on March 24, 2022. Respondent Smith also falsely stated that ML's remains had been transported to Cypress Lawn for cremation.
- 90. Later that day, the Bureau Field Representative confirmed that Cypress Lawn did not have custody of ML's remains. The Field Representative thereafter e-mailed Respondent Smith and asked where ML's remains were being stored, as Respondent Oceanview's new facility at 25176 Mission Boulevard did not have a cold storage unit. Respondent Smith refused to answer.
- 91. The Authorization for Removal of Human Remains regarding ML falsely listed Respondent Oceanview's address as 21365 Mission Boulevard in Hayward.

92. Respondent Oceanview did not obtain a disposition permit for ML's remains until on or about April 12, 2022, 28 days after ML's death.

Decedent LR

- 93. LR passed away on or about November 7, 2021. Respondent Oceanview took custody of LR's remains on or about December 10, 2021, pursuant to arrangements made by LR's surviving son, RR.
- 94. Respondent Oceanview held LR's remains for approximately 60 days (December 10, 2021 to February 8, 2022) before transporting them for cremation. Respondent Oceanview took custody of LR's cremated remains on or about February 10, 2022, and thereafter held the remains for approximately 131 days (February 10, 2022 to June 21, 2022 (131 days) before delivering them to RR.
- 95. Between late December 2021 and June 2022, LR's surviving children (RR, CR, and CC) made numerous attempts to contact Respondent Oceanview. Their e-mails went unanswered, and they were unable to leave voice mail messages because the mailbox was full. This caused them considerable distress.
- 96. On or about June 20, 2022, CC called the Hayward Police Department and requested assistance. The police contacted Respondent Smith by telephone. Later that day, Respondent Smith called CC and told her, "Your Dad is on my desk," or words to that effect. Respondent Smith apologized to CC for the delay and offered a refund. The next day, on or about June 21, 2022, RR went to Respondent Oceanview's place of business and retrieved his father's ashes. When RR asked about the promised refund, Respondent Smith refused and stated, "Take me to court," or words to that effect.
- 97. On or about February 3, 2022, Respondent Smith signed a Declaration for Disposition of Cremated Remains form, falsely attesting that he had the legal right to authorize LR's cremation.
- 98. The Declaration for Disposition of Cremated Remains form regarding LR did not contain specific instructions as to the manner, location, and other pertinent details regarding the disposition of LR's remains. Instead, the form stated only, "Return cremated remains to RR."

- 99. The Authorization for Removal of Human Remains regarding LR falsely listed Respondent Oceanview's address as 21365 Mission Boulevard in Hayward.
- about the issues surrounding LR's funeral services, Respondent Smith stated that he did not recall receiving phone messages or e-mails from LR's children, nor did he remember being contacted by the Hayward Police regarding the location of LR's remains. Respondent Smith reported that he attempted to call RR "a couple of times," or words to that effect, but could not remember whether he had left messages on those occasions. Respondent Smith stated that he had been on vacation in December 2021, and thereafter had COVID-19 and "health issues," or words to that effect. Respondent Smith also admitted that he still had not notified the Bureau of Respondent Oceanview's new business address.

FIRST CAUSE FOR DISCIPLINE

(Failure to Maintain Suitable Storage Room - Respondent Oceanview)

101. Respondent Oceanview subjected its license to discipline under Code section 7616, subdivision (a) in that, as described in paragraphs 29, 78, 80, and 90, Respondent Oceanview conducted the business of a funeral establishment and maintained custody of uncremated human remains in the absence of a room suitable for storage of human remains.

SECOND CAUSE FOR DISCIPLINE

(Operating Without a Valid License – Respondent Oceanview)

102. Respondent Oceanview subjected its license to discipline under Code section 7617 in that, as described in paragraphs 28, 55-56, 59, 62, 68, 70, 77-80, 84-85, 87-88, and 94-95, between February 28 and April 4, 2022, Respondent Oceanview conducted the business of a funeral establishment without an active, valid license issued by the Bureau.

THIRD CAUSE FOR DISCIPLINE

(Changing Location Without Application/Inspection – Respondent Oceanview)

103. Respondent Oceanview subjected its license to discipline under Code section 7628 in that, as described in paragraphs 27, 29, and 100, Respondent Oceanview changed locations

without first filing an application with the Bureau and having the premises inspected for compliance with the Cemetery and Funeral Act.

FOURTH CAUSE FOR DISCIPLINE

(Failure to Display License in Conspicuous Location – Respondent Oceanview)

104. Respondent Oceanview subjected its license to discipline under Code section 7680 in that, as described in paragraph 30, Respondent Oceanview failed to display its Bureau-issued license in a conspicuous location in its place of business.

FIFTH CAUSE FOR DISCIPLINE

(Noncompliant Casket List/Display- Respondent Oceanview)

105. Respondent Oceanview subjected its license to discipline under Code section 7685, subd. (a)(3) and California Code of Regulations, title 16, sections 1258.1 and 1258.2 in that, as described in paragraph 30, Respondent Oceanview's list of caskets available for purchase did not provide sufficient identifying information. In addition, not all of the caskets available for purchase or rent were on display, either physically or photographically.

SIXTH CAUSE FOR DISCIPLINE

(Failing to Provide Memorandum of Goods and Services – Respondent Oceanview)

106. Respondent Oceanview subjected its license to discipline under Code section 7685.2, subdivision (a) in that, as described in paragraph 34, Respondent Oceanview failed to provide a written memorandum of goods and services purchased prior to cremating the remains of decedent SL.

SEVENTH CAUSE FOR DISCIPLINE

(Failing to Obtain Legally Compliant Declaration – Respondent Oceanview)

107. Respondent Oceanview subjected its license to discipline under Code section 7685.2, subdivision (b) in that, as described in paragraphs 34, 42, 57, 69, and 97-98, Respondent Oceanview failed to obtain signed declarations designating specific instructions as to the disposition of remains. Specifically, the declarations regarding SL, JG, and LR were not signed by the person authorized to control disposition of the remains, and the declarations regarding CMA, AV, and LR did not contain specific instructions as to the disposition of the remains.

EIGHTH CAUSE FOR DISCIPLINE

(Fraud/Misrepresentation - Respondent Oceanview)

in that, as described in paragraphs 26-100, Respondent Oceanview repeatedly committed fraud and/or misrepresentation. Specifically, Respondent Oceanview held itself out as a licensed funeral establishment and conducted business under an invalid license; falsely advertised the price of funeral services on its website; misled customers about the status of cremations and the reasons for delay; falsely told customers that cremations would occur by a particular date; lied about the location of uncremated human remains; offered a refund to a customer and then rescinded the offer; failed to return customer telephone calls and e-mails; presented forged and falsely attested documents to a crematory; made misstatements regarding the location of its premises on multiple forms/documents; and misstated the name of the crematory on a declaration regarding disposal of remains.

NINTH CAUSE FOR DISCIPLINE

(False/Misleading Advertising – Respondent Oceanview)

109. Respondent Oceanview subjected its license to discipline under Code section 7693 in that, as described in paragraphs 36-38, Respondent Oceanview engaged in false and/or misleading advertising.

TENTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct - Respondent Oceanview)

110. Respondent Oceanview subjected its license to discipline under Code section 7707 in that, as described in paragraphs 26-100, Respondent Oceanview engaged in unprofessional conduct. Specifically, Respondent Oceanview held itself out as a licensed funeral establishment and conducted business under an invalid license; falsely advertised the price of funeral services on its website; held the uncremated remains of numerous decedents for lengthy periods of time without any communication with the decedents' survivors; misled customers about the status of cremations and the reasons for delay; shut off its phone line, leaving customers unable to make contact; falsely told customers that cremations would occur by a particular date; failed to return

customer telephone calls and e-mails; lied about the location of uncremated human remains and encouraged the operator of another funeral home to do the same; refused to reveal the location of uncremated human remains to a Bureau Field Representative; offered a refund to a customer and then rescinded the offer; presented forged and falsely attested documents to a crematory; made misstatements regarding the location of its premises on multiple forms/documents; misstated the name of the crematory on a declaration regarding disposal of remains; failed to obtain signatures on legally required documents, failed to timely register death certificates, and failed to timely obtain disposition permits.

ELEVENTH CAUSE FOR DISCIPLINE

(Failing to Timely Register Death Certificates – Respondent Oceanview)

111. Respondent Oceanview subjected its license to discipline under Health and Safety Code section 102775 in that, as described in paragraphs 33, 44, 47-48, 52, 59, 64, 70 and 85, Respondent Oceanview repeatedly failed to register death certificates within eight days of the decedents' death.

TWELFTH CAUSE FOR DISCIPLINE

(Failing to Timely Obtain Permits for Disposition – Respondent Oceanview)

112. Respondent Oceanview subjected its license to discipline under Health and Safety Code section 103700 in that, as described in paragraphs 33, 44, 47-48, 52, 59, 64, 70, 82, 85, and 92, Respondent Oceanview repeatedly held remains for more than eight days without obtaining a permit for disposition.

THIRTEENTH CAUSE FOR DISCIPLINE

(Failing to Surrender License After Changing Location – Respondent Oceanview)

113. Respondent Oceanview subjected its license to discipline under California Code of Regulations, title 16, section 1210 in that, as described in paragraphs 27, 29, and 100, Respondent Oceanview failed to surrender its license upon change of address/discontinuance of business at the specified address.

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refrigerate the unembalmed remains of decedents MB, KC, and SG within 24 hours of receipt (Cal. Code Regs., tit. 15, § 1223, subd. (c)); (b) failing to have the correct casket disclaimer on the casket price list (Cal. Code Regs., tit. 15, § 1258); (c) failing to file death certificates for NB, KC, and SG within eight calendar days after death (Health & Saf. Code, § 102775); and (d) unprofessional conduct, specifically, the acts described in (a)-(c) above (§ 7707). The citations are now final.

- Citations IC 2019 462 and IC 2020 31 to Respondent Oceanview and Respondent Smith, respectively, for: (a) failing to have an approved, suitable room for the storage of human remains (§ 7616, subd. (a)(1)); (b) failing to properly document the location and disposition on the Declaration for Disposition of Cremated Remains forms for decedents JP, CW, and DH (§ 7685.2, subd. (b)); (c) unprofessional conduct, specifically, failing to provide the Bureau with documentation that accurately reflected the storage location of multiple decedents, and failing to cooperate with the Bureau's investigation (§ 7707). The citations are now final.
- 120. Complainant further alleges that on or about February 13, 2020, the Bureau issued Citations IC 2019 500 and IC 2020 40 to Respondent Oceanview and Respondent Smith, respectively, for unprofessional conduct (§ 7707). Specifically, Respondents failed to timely communicate information regarding the availability of decedent CW's remains. Respondent Smith was also cited for failing to properly execute the Declaration for Disposition of Cremated Remains form for CW (§ 7685.2, subd. (b)). The citations are now final.
- 121. Complainant further alleges that on or about September 1, 2020, the Bureau issued Citation IC 2020 344 to Respondent Smith for failing to obtain an Authorization to Accept or Decline Embalming form for decedent MA (Cal. Code Regs., tit. 16, § 1214). The citation is now final.
- 122. Complainant further alleges that on or about January 28, 2022, the Bureau issued Citations IC 2021 229 and IC 2022 34 to Respondent Oceanview and Respondent Smith, respectively, for (a) failing to include the establishment's license number in advertisements (Cal. Code Regs., tit. 16, § 1211, subd. (a)); and (b) engaging in false and misleading advertising

1	(§7693). Specifically, Respondent Oceanview's website provided an outdated address, falsely	
2	suggested that the establishment had been in business for over 35 years, and falsely advertised per	
3	cemetery services. The citations are now final.	
4	<u>PRAYER</u>	
5	WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,	
6	and that following the hearing, the Director of the Department of Consumer Affairs issue a	
7	decision:	
8	1. Revoking Funeral Establishment License No. FD 2082, issued to Oceanview	
9	Cremations;	
10	2. Revoking Funeral Director License No. FDR 781, issued to Robert Smith, Sr.,	
11	Funeral Director;	
12	3. Ordering Oceanview Cremations and Robert Smith, Sr. to pay the Cemetery and	
13	Funeral Bureau the reasonable costs of the investigation and enforcement of this case, pursuant to	
14	Business and Professions Code section 125.3; and,	
15	4. Taking such other and further action as deemed necessary and proper.	
16	DATED: 01/06/2023 Gina Sanchez	
17	DATED: 01/06/2023 GINA SANCHEZ Bureau Chief	
18	Cemetery and Funeral Bureau Department of Consumer Affairs	
19	State of California Complainant	
20	Complainant	
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