STATE OF CALIFORNIA
DEPARTMENT OF CONSUMER AFFAIRS

BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.
CEMETERY AND FUNERAL BUREAU
1625 N. Market Blvd., Suite S-208, Sacramento, CA 95834
P 916.574.7870 F 916.928.7988 www.cfb.ca.gov

APPLICATION FOR CEMETERY BROKER LICENSE

☐ EXAMINATION AND/OR LICENSE FEE $400 OR ☐ RE-EXAMINATION FEE $100

License Type/Number Issued

SECTION A: APPLICANT INFORMATION

Last Name	First		Middle Initial
Residence Address	City	State CA	Zip Code
Business Address	City	State CA	Zip Code
Mailing Address for Business (If different than above)	City	State	Zip Code

Residence Telephone Number	Daytime Telephone Number	Are you a California resident?
( )	( )	Yes ☐ No, ☐ you cannot be licensed

Date of Birth	Social Security Number	E-mail Address (Not required)

I wish my license to be issued: ☐ As soon as possible ☐ Held until July 1st

All Broker licenses expire on June 30th of each year.

List all Broker licenses you currently hold or held as of June 30th of the preceding year
(circle type and add license number, attach an additonal page if needed)

☐CBA _____	☐CBA _____	☐CBA _____	☐CBA _____	☐CBA _____	☐CBA _____	☐CBA _____
☐CEB _____	☐CEB _____	☐CEB _____	☐CEB _____	☐CEB _____	☐CEB _____	☐CEB _____
☐CBB _____	☐CBB _____	☐CBB _____	☐CBB _____	☐CBB _____	☐CBB _____	☐CBB _____

SECTION B: EXAMINATION INFORMATION (If applicable, exam applicants only)

Have you previously taken the examination you are applying for? No ☐ Yes ☐

If yes, indicate previous test date(s) _____

Are you applying to take more than one exam on the same date? No ☐ Yes ☐

If yes, what other exam have you applied to take? _____

SECTION C: FILING STATUS-CHOOSE ONE (**Requirements** for each Broker type are not necessary to sit for the exam, however a license will not be issued until the requirements have been received)

☐ **Corporate Broker**	Name of Cemetery	License Number of Cemetery
	Federal Taxpayer ID Number	Submit a Corporate Resolution authorizing you to become the Broker on behalf of the Cemetery.
	I am applying as the ☐ Main Broker (CEB) ☐ Additional Broker (CBA)	
☐ **Individual Broker**	Submit a $10,000 Surety Bond	

FOR BUREAU USE ONLY

Date Cashiered	Amount Cashiered	ATS Number	Receipt Number

SID Number/On File With	Exam Required	Petition	2 years Experience	Exam Date/Results	Corporate Resolution	$10,000 Bond	

SECTION D: QUALIFICATIONS- List all your cemetery salesperson licenses, at least 2 years salesperson experience required, or submit a petition. (Exam applicants only)

CES License Number	Date Issued	Held For
		_____ Years _____ Months
		_____ Years _____ Months
		_____ Years _____ Months
		_____ Years _____ Months

PETITION FOR TWO YEARS GENERAL CEMETERY EXPERIENCE (Subject to Bureau approval)
Attach a petition, outlining in detail, your employer(s), and dates of employment, with your specific duties and responsibilities.

SECTION E: BACKGROUND INFORMATION

Has the Cemetery and Funeral Bureau ever issued you a personal license? No ☐ Yes ☐

If yes, provide license types(s), number(s) and date(s) issued: _____

Have you previously submitted fingerprint cards or a copy of a Request for Live Scan Service Form to the Cemetery and Funeral Bureau? No ☐ Yes ☐
If yes, for what license type, number and the approximate date?_____

If no, submit with this application a copy of request for Live Scan Service form verifying that fingerprints have been scanned and all applicable fees have been paid.

Have you ever been convicted of, or pled no contest to, a violation of any law of the United States, any state or local jurisdiction, or any foreign country? No ☐ Yes ☐

If yes, attach an explanation that includes the type of violation, the date, circumstances and location, and the complete penalty received. Also include copies of court documents, arrest records, verification of restitution received by the court, and verification of successful completion of probation.

You must include all misdemeanor and felony convictions, regardless of the age of the conviction, including those which have been set aside and/or dismissed under Penal Code Section 1000 or 1203.4 (Traffic violations of $500.00 or less need not be reported).

Have you ever had any professional or vocational license or registration denied, suspended, revoked, placed on probation or other disciplinary action taken by this or any other governmental authority in this state or any other state, or any foreign country? No ☐ Yes ☐

If yes, attach an explanation that includes the license type, the action taken, by what state, and the date. Also include a copy of the administrative action, and if applicable, copies of court documents, arrest records, verification of restitution received by the court, and verification of successful completion of probation.

SECTION F: APPLICANT CERTIFICATION

I certify under penalty of perjury under the laws of the State of California that all statements furnished in connection with this application are true and accurate.

Signature of Applicant	Date

Note: The information solicited on this form is required pursuant to Business and Professions Code Section 9700. All items in this application are mandatory; none are voluntary, unless indicated. Failure to provide any of the requested information will result in the application being considered incomplete (incomplete applications are subject to abandonment one year from the date the applicant is notified of deficiencies). All information provided will be used to determine qualification for licensure, per the Business and Professions Code that authorizes the collection of this information. Per California Civil Code Section 1798.17 (Information Practice Act), the Chief of the Cemetery and Funeral Bureau is responsible for maintaining information in this application. This information may be transferred to other governmental and enforcement agencies. Individuals have the right to review the records maintained on them by the agencies, unless the records are exempt by Section 1798.40 of the Civil Code. Requests for information may be addressed to the custodian of records: Bureau Chief, Cemetery and Funeral Bureau, 1625 North Market Blvd,. Suite S208, Sacramento, CA 95834 (916) 574-7870.

Section 30 of the Business and Professions Code and Public Law 94-455 (42 U.S.C.A. 405 (c)(2)(c)) authorizes the collection of your Social Security Number (SSN). The disclosure of your SSN is mandatory. The information will be used exclusively for tax enforcement purposes and for purposes of compliance with Section 11350.6 of the Welfare and Institutions Code. If you fail to disclose your SSN, you will be reported to the Franchise Tax Board, which may assess a $100.00 penalty against you. Questions regarding this requirement must be directed to the Franchise Tax Board: So. California (800) 852-7050, No. California (800) 852-5711, or Sacramento at (916) 369-0500.

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INFORMATION AND INSTRUCTIONS FOR CEMETERY BROKER EXAMINATION AND/OR LICENSURE

A Cemetery Broker is a person who (1) sells or offers for sale, (2) buys or offer to buy, (3) lists, (4) leases or offers to lease, or (5) solicits or negotiates the purchase, sale, lease or exchange of cemetery property or interments services.

TO BE ELIGIBLE TO TAKE THE CEMETERY BROKER EXAM YOU MUST:

- ✓ Have two years experience working as a cemetery salesperson, or submit a petition outlining in detail, two years general cemetery experience with your specific duties and responsibilities.
- ✓ Be a California resident
- ✓ Have committed no acts or crimes constituting grounds for license denial under Section 480 of the Business and Professions Code.

TO BE CONSIDERED COMPLETE, YOUR APPLICATION MUST CONTAIN:

- ✓ A completed Application for Cemetery Broker License.
- ✓ Application and examination fee of $400.00, or a re-examination fee of $100.00.
- ✓ Copy of Request for Live Scan Service form verifying that fingerprints have been scanned and all applicable fees have been paid if required.
- ✓ If you do not have two years Cemetery Salesperson experience, submit a petition outlining in detail, two years general cemetery experience with your specific duties and experience you feel qualifies you to take examination.

Additional Requirements, to be completed prior to licensure (these can be submitted with your application or when you pass the exam)

- ✓ If you are applying as a Corporate Broker, submit a Corporate Resolution authorizing you to become the Corporate Broker on behalf of the Cemetery.

- ✓ If you are applying as an individual, submit a Surety Bond in the amount of $10,000.

The license term is one year, the renewal fee is $300.00; the late fee is $150.00. The renewal fee for multiple corporate officers or partners is $100.00; the late fee is $50.00.

Mail your completed application with the appropriate processing fee payable to the:

Cemetery and Funeral Bureau, P.O. Box 989003, West Sacramento, CA 95798-9003, or
Priority Mail: 1625 North Market Blvd., Suite S208, Sacramento, CA 95834

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SUPPLEMENTAL APPLICATION FOR LICENSURE

Applicants for the following licensure categories must complete and submit this form with their application.

- Funeral Director
- Cemetery Manager
- Crematory Manager
- Embalmer
- Cemetery Broker
- Cremated Remains Disposer
- Apprentice Embalmer
- Cemetery Salesperson

APPLICANT INFORMATION

LAST NAME	FIRST NAME		MIDDLE INITIAL
ADDRESS	CITY	STATE	ZIP CODE
PHONE NUMBER (optional) ( )	SSN OR ITIN		
FORMER NAME ON LICENSE (if applicable)	LICENSE(S) APPLYING FOR		

BACKGROUND INFORMATION:

Have you ever served in the United States Military? If yes, you may qualify for expedited processing of your application. Any experience or education received while serving in the military may be eligible to be applied towards licensure requirements. While responding is optional, you must respond to the question to qualify.	☐ YES ☐ NO
Have you ever been convicted of, or pled guilty or nolo contendere to ANY criminal or civil offense in the United States, its territories, or a foreign country? This includes every citation, infraction, misdemeanor and/or felony, including traffic violations. If yes, you can attach an explanation that includes the type of violation, the date, circumstances and location, and the complete penalty received. Also include copies of court documents, arrest records, verification of restitution received by the court, and verification of successful completion of probation. NOTE: Convictions that were adjudicated in the juvenile court or convictions two years or older under Health and Safety Code section 11357(b), (c), (d), (e) or section 11360(b) or criminal charges dismissed under Penal Code section 1000.3, should NOT be reported. All other convictions and convictions that were dismissed from the records of the court or set aside pursuant to section 1203.4, 1203.4a, or 1203.41 of the Penal Code or equivalent non-California law MUST be disclosed. Proof of Dismissal: If you have obtained a dismissal of your conviction(s) pursuant to Penal Code sections 1203.4, 1203.4a, or 1203.41, please submit a certified copy of the court order dismissing the conviction(s) with your application.	☐ YES ☐ NO

CERTIFICATION OF APPLICANT

I certify under penalty of perjury, under the laws of the State of California, that all information provided on this form is true and correct.

SIGNATURE _____ DATE _____

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NOTICE ON COLLECTION OF PERSONAL INFORMATION

Collection and Use of Personal Information

The Cemetery and Funeral Bureau (Bureau) of the Department of Consumer Affairs collects the personal information requested on this form in accordance with Business and Professions Code (BPC) sections 30, 35, 114.3, 114.5, 115.4, 115.5, 144, 480, Cemetery and Funeral Act (BPC section 7600 et. seq.), and the Information Practices Act. The Bureau uses this information principally to identify and evaluate applicants for licensure, issue and renew licenses, and enforce licensing and reporting standards set by law and regulation.

Mandatory Submission

Submission of the requested information is mandatory unless otherwise noted on the application and/or form. The Bureau cannot consider your application for licensure or renewal unless you provide all of the requested information.

Access to Personal Information

You may review the records maintained by the Bureau that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information

We make every effort to protect the personal information you provide us. The information you provide, however, may be disclosed in the following circumstances:

- In response to a Public Records Act request (Government Code Section 6250 and following), as allowed by the Information Practices Act (Civil Code Section 1798 and following);
- To another government agency as required by State or Federal law; or,
- In response to a court or administrative order, a subpoena, or a search warrant.

Contact Information

For questions about this notice or access to your records, you may contact the Custodian of Records, Cemetery and Funeral Bureau at 1625 North Market Boulevard, Suite S-208, Sacramento, CA 95834, by phone at (916) 574-7870, or by e-mail at emailcfb@dca.ca.gov. For questions about the Department's Privacy Policy, you may contact the Department of Consumer Affairs at 1625 North Market Boulevard, Sacramento, CA 95834, by phone at (800) 952-5210, or by e-mail at dca@dca.ca.gov.